



# BEHAVIORAL HEALTH SYSTEMS

Behavioral Healthcare Programs for Business & Industry Since 1989

www.behavioralhealthsystems.com • Phone: 800-245-1150 • Fax: 205-879-1178

Corporate Office: Two Metroplex Dr., Ste 500, Birmingham, AL 35209 • Midwest Office: John Hancock Center, Ste 3137, 875 N. Michigan Ave., Chicago, IL 60611

## Treatment Provider Application

Identifying Information (Please type or print.)			
Provider's First Name	Provider Middle Name	Provider Last Name	Degree/Title or Licensure <input type="checkbox"/> MD <input type="checkbox"/> DO <input type="checkbox"/> PhD <input type="checkbox"/> PsyD <input type="checkbox"/> PA <input type="checkbox"/> CRNP/APRN <input type="checkbox"/> PMHNP <input type="checkbox"/> FNP <input type="checkbox"/> LCSW <input type="checkbox"/> LMHC <input type="checkbox"/> MFT <input type="checkbox"/> LPC <input type="checkbox"/> BCBA <input type="checkbox"/> Other _____
Provider's Maiden Name	Provider's Other Name	Suffix	
Gender (Optional) <input type="checkbox"/> Male <input type="checkbox"/> Female	Race/Ethnic Group (Optional) <input type="checkbox"/> American Indian <input type="checkbox"/> Asian <input type="checkbox"/> Black <input type="checkbox"/> Hispanic <input type="checkbox"/> White <input type="checkbox"/> Other _____		
Date of Birth (Required)	Social Security Number (Required)	Individual NPI# (Required)	
US Citizen (Required) <input type="checkbox"/> Yes <input type="checkbox"/> No	Legal Right to Work in the US (Required) <input type="checkbox"/> Yes <input type="checkbox"/> No	If No, Alien Registration number	
City of Birth	State of Birth	Country of Birth (Required)	

Address Information (Please list all locations and group affiliations.)				
Primary Office				
Practice Type <input type="checkbox"/> Solo <input type="checkbox"/> Group <input type="checkbox"/> Employee <input type="checkbox"/> Independent Contractor <input type="checkbox"/> Other _____				
Legal Entity Name		DBA Practice/Business Name		
Street Address			Suite #	
City	State	Zip	County	
Office Phone	Scheduling Phone (if different)	Office Fax	Scheduling Fax (if different)	
Office Email		Provider Email		
Federal Tax ID Number		Group NPI#	Web Address	
Office Contact Person		Normal Business Hours	Schedule (Check all that apply to this location.) <input type="checkbox"/> S <input type="checkbox"/> M <input type="checkbox"/> T <input type="checkbox"/> W <input type="checkbox"/> T <input type="checkbox"/> F <input type="checkbox"/> S	
Virtual only? <input type="checkbox"/> Yes <input type="checkbox"/> No	Is this location a home office? <input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, does it have a separate entry? <input type="checkbox"/> Yes <input type="checkbox"/> No	Is this office completely separate from the living quarters? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Credentialing Contact Person (Required)		Credentialing Contact Phone	Credentialing Contact Email (Required)	
Office Accommodations (Please check all that apply.)				
<input type="checkbox"/> Private Waiting Area <input type="checkbox"/> Handicapped Accessible <input type="checkbox"/> Smoke-Free <input type="checkbox"/> Fire Exits <input type="checkbox"/> Fire Extinguisher <input type="checkbox"/> Fire Plan <input type="checkbox"/> Free Parking <input type="checkbox"/> Lighted Parking <input type="checkbox"/> Off-Street Parking <input type="checkbox"/> Public Transportation <input type="checkbox"/> Sign Language <input type="checkbox"/> Hearing Impaired w/Translator <input type="checkbox"/> TTY <input type="checkbox"/> Locked Medication Storage <input type="checkbox"/> Locked Records Storage				
Mailing Address (if different)		Claims Payment Address (if different)		
Street Address or PO Box		Street Address or PO Box		
Suite #		Suite #		
City	State	Zip	City	
State		Zip	State	
Phone		Fax	Phone	
Fax		Fax		

Additional Address Information										
Practice Type <input type="checkbox"/> Solo <input type="checkbox"/> Group <input type="checkbox"/> Employee <input type="checkbox"/> Independent Contractor <input type="checkbox"/> Other _____										
Legal Entity Name					DBA Practice/Business Name					
Street Address								Suite #		
City				State		Zip		County		
Office Phone			Scheduling Phone (if different)			Office Fax		Scheduling Fax (if different)		
Office Email				Provider Email						
Federal Tax ID Number				Group NPI#:			Web Address			
Office Contact Person				Normal Business Hours			Schedule (Check all that apply to this location.) <input type="checkbox"/> S <input type="checkbox"/> M <input type="checkbox"/> T <input type="checkbox"/> W <input type="checkbox"/> Th <input type="checkbox"/> F <input type="checkbox"/> S			
Virtual only? <input type="checkbox"/> Yes <input type="checkbox"/> No		Is this location a home office? <input type="checkbox"/> Yes <input type="checkbox"/> No		If yes, does it have a separate entry? <input type="checkbox"/> Yes <input type="checkbox"/> No		Is this office completely separate from the living quarters? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Credentialing Contact Person (Required)				Credentialing Contact Phone			Credentialing Contact Email (Required)			
<b>Office Accommodations</b> (Please check all that apply.) <input type="checkbox"/> Private Waiting Area <input type="checkbox"/> Handicapped Accessible <input type="checkbox"/> Smoke-Free <input type="checkbox"/> Fire Exits <input type="checkbox"/> Fire Extinguisher <input type="checkbox"/> Fire Plan <input type="checkbox"/> Free Parking <input type="checkbox"/> Lighted Parking <input type="checkbox"/> Off-Street Parking <input type="checkbox"/> Public Transportation <input type="checkbox"/> Sign Language <input type="checkbox"/> Hearing Impaired w/Translator <input type="checkbox"/> TTY <input type="checkbox"/> Locked Medication Storage <input type="checkbox"/> Locked Records Storage										
<b>Mailing Address</b> (if different)					<b>Claims Payment Address</b> (if different)					
Street Address or PO Box				Suite #		Street Address or PO Box				Suite #
City			State	Zip		City			State	Zip
Phone		Fax			Phone			Fax		

Medical Education/Professional Degree/Other Training				
Type	Degree/Specialty	Name of School/University	City/State	Completion Date
Graduate/Medical School				
Internship				
Residency				
Fellowship				
Other Training				

Work History (Please list work history going back 10 years including month/year dates or please attach a CV reflecting work history including month/year dates (required). Include a written explanation for any employment gaps greater than 6 months.)		
Current Practice	Start Date	End Date
<b>Address:</b>		
City	State	Zip
Phone:	Fax:	County:
Previous Group Practice	Start Date	End Date
<b>Address:</b>		
City	State	Zip

<b>Phone:</b>	<b>Fax:</b>	<b>County:</b>
<b>Other Work History</b>	<b>Start Date</b>	<b>End Date</b>
<b>Address:</b>		
<b>City</b>	<b>State</b>	<b>Zip</b>
<b>Phone:</b>	<b>Fax:</b>	<b>County:</b>

<b>License History</b> (Please list licensure information for the past 10 years.)					
Type	State	License Type (i.e., MD, LPC, etc.)	Number	Issue/Renewal Date	Expiration Date
State License					
Other State License					
Other State License					
CDS					
Federal DEA	US				

<b>Specialty Certifications</b>				
Are you board certified or do you hold specialized credentials? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A				
<b>If yes, please list below and attach copy of certificate(s).</b>				
Certification Board	Specialty	Certification Number	Issue/Renewal Date	Expiration Date

<b>Insurance Information</b> (Please <b>attach</b> a copy of your <b>current</b> insurance certificates or declaration pages showing the dates and amounts of coverage.)				
<b>Professional Liability Insurance</b>				
Current Insurance Carrier			Policy #	
Amounts of Coverage	Effective Date	Expiration Date	Years with Carrier	
\$ Occurrence / \$ Aggregate				
Patient Compensation Fund Carrier (if applicable)				
Effective Date	Expiration Date	Coverage Amount		
		\$		
<b>General Liability Insurance</b>				
Current Insurance Carrier			Policy #	
Amounts of Coverage	Effective Date	Expiration Date	Years with Carrier	
\$ Occurrence / \$ Aggregate				

<b>Hospital Privileges</b>		
Do you have hospital staff privileges? <input type="checkbox"/> Yes (Indicate below.) <input type="checkbox"/> No		
Facility Name	Address, City, State & Zip Code	Affiliation Type

<b>Languages</b>		
Do you speak a language other than English?	<input type="checkbox"/> Yes (If yes, please list below.)	<input type="checkbox"/> No

<b>Specialty Services</b> (You must meet criteria for treatment providers as detailed on page 6 for those checked). <b>CEUs may be required if provider meets specialty criteria.</b>		
<input type="checkbox"/> General	<input type="checkbox"/> Child/Adolescent	<input type="checkbox"/> Substance Abuse
<input type="checkbox"/> Critical Incident Stress Debriefing	<input type="checkbox"/> Disability Management/Workers Compensation	<input type="checkbox"/> Applied Behavior Analysis

<b>Practice Information</b>			
Please indicate the percentage of your current caseload which falls into each of the following categories. <b>(Your total caseload should add up to 100%).</b>			
<b>Client Groups</b>			
(In order to qualify for the Child/Adolescent specialty or the Substance Abuse specialty, the provider must carry a child/adolescent or substance abuse caseload of at least 33%). Please see page 6 for additional criteria information			
Child _____%	Adolescent _____%	Adult _____%	Geriatric/Elderly _____%
Client age range: Minimum age: _____	Maximum age: _____	What percent of total caseload, if any, is substance abuse? _____%	
Number of years at current practice _____		Number of years clinical experience _____	
Percent of referrals from EAP _____%	Managed care _____%		
Treatment Modalities	<input type="checkbox"/> Individual	<input type="checkbox"/> Family/Marital	<input type="checkbox"/> Group (Types: _____)
Treatment Options	<input type="checkbox"/> In person	<input type="checkbox"/> Virtual	
Number of hours per week in direct care activities: _____			
Do you currently receive professional supervision?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Ratio supervised/direct care hours: _____
To which area professionals do you refer? _____			

<b>Facility Referrals</b> (Please indicate to which area facilities you refer.)		
Patient Type	Outpatient (IOP, PHP) Facilities	Inpatient
General Adult		
Child/Adolescent		
Substance Abuse		
Eating Disorders		
Other Specialties		

<b>Clinical Support Information</b> (Select plans and certain services require BHS precertification. This information is required to process application.)	
Are you willing to participate in periodic clinical reviews with BHS case managers regarding the clinical status and progress of BHS clients?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Are you willing to submit a brief client progress summary and/or treatment plan to BHS if requested?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>Please answer the following questions if you checked Disability Management/Workers Compensation as a specialty.</b>	
Do you have specialized education, experience or certification in evaluation or treatment for disability/workers compensation cases? If yes, please list: _____	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA
Do you require psychological testing for evaluation of disability or workers compensation cases? If yes, please list standardized instruments used: _____	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

Specialty/Treatment Categories (Please check all that apply.)			
<input type="checkbox"/>	Abuse & Trauma	<input type="checkbox"/>	Eating Disorders
<input type="checkbox"/>	Acculturation Problem	<input type="checkbox"/>	ECT (MD only)
<input type="checkbox"/>	ADHD	<input type="checkbox"/>	EMDR
<input type="checkbox"/>	Anger Management	<input type="checkbox"/>	Faith Based
<input type="checkbox"/>	Applied Behavioral Analysis (ABA)	<input type="checkbox"/>	Family Therapy
<input type="checkbox"/>	Autism Spectrum Disorders	<input type="checkbox"/>	Forensics
<input type="checkbox"/>	Chronic Medical Conditions	<input type="checkbox"/>	Grief Issues
<input type="checkbox"/>	Codependency	<input type="checkbox"/>	Insight Therapy
<input type="checkbox"/>	Cognitive-Behavioral Therapy	<input type="checkbox"/>	LGBTQIA+
<input type="checkbox"/>	Conflict Resolution	<input type="checkbox"/>	Medication Assisted Treatment (MAT)
<input type="checkbox"/>	Couples/Relational Problems	<input type="checkbox"/>	Men's Issues
<input type="checkbox"/>	Crisis Intervention	<input type="checkbox"/>	Neuropsychology
<input type="checkbox"/>	Critical Incidents	<input type="checkbox"/>	Occupational Problem
<input type="checkbox"/>	Dialectical Behavioral Therapy (DBT)	<input type="checkbox"/>	Other Addictions
<input type="checkbox"/>	DOT-Approved SAP	<input type="checkbox"/>	Out-Placement/Relocation
<input type="checkbox"/>		<input type="checkbox"/>	Parenting Issues
<input type="checkbox"/>		<input type="checkbox"/>	Psychological Testing
<input type="checkbox"/>		<input type="checkbox"/>	PTSD
<input type="checkbox"/>		<input type="checkbox"/>	Reality Therapy
<input type="checkbox"/>		<input type="checkbox"/>	Reproductive Issues
<input type="checkbox"/>		<input type="checkbox"/>	Return to Work Evaluations/Disability
<input type="checkbox"/>		<input type="checkbox"/>	Rogerian Therapy
<input type="checkbox"/>		<input type="checkbox"/>	Solution-Oriented Therapy
<input type="checkbox"/>		<input type="checkbox"/>	Stress Management
<input type="checkbox"/>		<input type="checkbox"/>	Substance Abuse
<input type="checkbox"/>		<input type="checkbox"/>	Suicide Prevention
<input type="checkbox"/>		<input type="checkbox"/>	Telehealth
<input type="checkbox"/>		<input type="checkbox"/>	Transcranial Magnetic Stimulation (TMS)
<input type="checkbox"/>		<input type="checkbox"/>	Women's Issues
<input type="checkbox"/>		<input type="checkbox"/>	Worker's Compensation
Other:			

Presenting Problems (Please check the disorders you treat most frequently.)	
Only check Child & Adolescent and Substance Abuse if you meet criteria for those specialties. Please see page 6 for additional criteria information.	
<input type="checkbox"/> Adjustment Disorder	<input type="checkbox"/> Mood Disorder
<input type="checkbox"/> Anxiety Disorder	<input type="checkbox"/> Personality Disorder
<input type="checkbox"/> Child & Adolescent Disorder	<input type="checkbox"/> Schizophrenia/Psychotic Disorder
<input type="checkbox"/> Disorders due to General Medical Conditions	<input type="checkbox"/> Sexual/Gender Identity Disorder
<input type="checkbox"/> Dissociative Disorder	<input type="checkbox"/> Somatoform Disorder
<input type="checkbox"/> Eating Disorder	<input type="checkbox"/> Substance Abuse Disorder
<input type="checkbox"/> Impulse Control Disorder	<input type="checkbox"/> Other _____

Availability		
<input type="checkbox"/> Immediately (crises)	<input type="checkbox"/> 48 hours	<input type="checkbox"/> More than three days for appointment
<input type="checkbox"/> 24 hours	<input type="checkbox"/> 72 hours	
Describe your back-up coverage:		

<b>Mandatory Questionnaire</b>			
<p><b>IMPORTANT:</b> If any of the following questions is answered “Yes”, please provide a summary below or attach an explanation for each answer. If any questions do not apply to you, please answer “No”.</p> <p><b>Failure to respond or provide explanations for “Yes” responses may result in delay of application processing.</b></p>			
<b>Licensure Information</b>		<b>Insurance Information</b>	
<p><b>In the last ten (10) years:</b></p> <p>1. Have you been censured, reprimanded, or had disciplinary action taken by an ethical standards committee, licensing board, or other board of inquiry, or is any such action currently pending or under investigation? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>2. Have you voluntarily surrendered your professional license, had your professional license revoked, suspended, or limited, or worked under a probationary license or consent agreement? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Have you been the subject of any investigation by any private, federal, or state health program or is any such action pending? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>4. Has your Federal DEA and/or State Controlled Dangerous Substance (CDS) Certificate(s) been voluntarily or involuntarily limited, suspended, revoked, surrendered, or not renewed, or is any such action currently pending? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>		<p><b>In the last ten (10) years:</b></p> <p>1. Has your professional liability insurance coverage been involuntarily terminated, or modified by action of any insurance company? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>2. Have you been denied or refused renewal of professional liability coverage, rated in a higher-than-average risk class for your specialty, or had a surcharge relative to claims? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Have you filed a claim under your professional liability insurance, have any suits, actions, or claims alleging malpractice been filed, or are there any pending against you? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>4. Have you filed a claim under your general liability insurance, have any suits, actions, or claims been filed, or are there any pending against you? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>5. Have any judgments been made against you in professional liability cases or claims, or have you entered into any settlements? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>6. To your knowledge, has information pertaining to you been reported to the National Practitioner Data Bank or the Healthcare Integrity and Protection Data Bank? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<b>Hospital and Other Affiliations</b>		<b>Health Status</b>	
<p><b>In the last ten (10) years:</b></p> <p>1. Have you been denied hospital privileges? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>2. If you were granted hospital privileges, were they voluntarily or involuntarily limited, suspended, revoked, or denied renewal, or is any such action currently pending, or has any such action been recommended? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Have you resigned from, or withdrawn an application for privileges or membership with, the staff of any hospital or medical organization because of problems regarding privileges or credentials, or is any such action currently pending? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>4. Has your membership in any professional organization been revoked, suspended, or terminated involuntarily for any reason other than failure to pay membership fees, or is any such action currently pending? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>		<p><b>In the last ten (10) years:</b></p> <p>1. Are you currently using any illegal drugs? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>2. Have you been under the influence of alcohol during working hours, or have you used drugs illegally? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Do you suffer from any medical or mental health condition which impairs your ability to practice to the fullest extent of your license, qualifications, and privileges with or without reasonable accommodations? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>4. In the last five (5) years, have you received any mental health treatment for a diagnosis identified in DSM-IV-TR which was ordered by an ethical standards committee, licensing board, or other board of inquiry? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>5. In the last four (4) years, have you voluntarily participated in a rehabilitation program or other treatment for substance abuse? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<b>Criminal History</b>			
<p><b>In the last ten (10) years:</b></p> <p>1. Have you been indicted for, convicted of, or pleaded guilty to a crime, or are you presently under investigation for a crime? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>2. Have you entered into a consent agreement, entered a plea of guilty, or been found guilty of, fraud or abuse involving payment of health care claims by any health care payor or been sanctioned by any third party payor or health care claims or professional review organization, governmental entity or agency, or is any such action pending? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>			
<p><b>Comments</b> (Please provide a detailed explanation (including dates) to any “Yes” answer given above. Attach a separate sheet if you need additional space.)</p>			
<p>.</p>			

## BHS CRITERIA FOR PROFESSIONAL PROVIDER NETWORK AFFILIATION

### Part One

- I. Providers must have at least one of the following:
  - A. Masters degree in behavioral sciences/human services (i.e., psychology, counseling, social work, psychiatric nursing); or
  - B. Doctoral degree in behavioral sciences/human services; or
  - C. Medical degree with completion of ABMS-approved residency program in psychiatry or addictionology.
- II. Providers must meet the following qualifications:
  - A. State licensure in related discipline (not including an “associate” or other license status which requires [non-disciplinary] supervision with a goal of achieving full licensure). Masters-prepared individuals not currently licensed may satisfy this requirement with: (1) three years post-masters supervised clinical (direct care) experience and current employment in a community mental health center; or (2) certification as an employee assistance professional (CEAP) by the Employer Assistance Certification Commission (referrals to these individual may be limited to only EAP treatment/services).
  - B. Continuing education at no less than the minimum level required by the state of licensure.
  - C. Support a least restrictive treatment philosophy and a managed care approach.
  - D. In practice at least 20 hours per week.
- III. Providers with a **Child/Adolescent** specialty must meet the following qualifications in addition to those in I. and II. above:
  - A. Current active child/adolescent caseload averaging 33% or more.
  - B. A minimum of 4 – 6 hours continuing education specific to treatment of children/adolescents per licensure period.
- IV. Providers with a **Substance Abuse** specialty must meet the following qualifications in addition to those in I. and II. above:
  - A. Certification as an Addictions Specialist, or two years post-degree clinical (direct care) experience in the field of substance abuse, as defined by association with a formal, structured substance abuse program or carrying a caseload of at least 33% substance abuse cases.
  - B. Current active substance abuse caseload averaging 33% or more.
  - C. A minimum of 4 – 6 hours continuing education specific to substance abuse per licensure period.
- V. Providers with a **Critical Incident Stress Debriefing** specialty must meet the following qualification in addition to those in I. and II. above:
  - A. Documented completion of a group debriefing course, or two Critical Incident Stress Debriefing cases done within the past two years.
- VI. Providers with a **Disability Management/Workers Compensation** specialty must meet the following qualification in addition to those in I. and II. above:
  - A. Two years post-degree clinical (direct care) experience in the field of disability management/workers compensation.
- VII. Providers with an **Applied Behavior Analysis** specialty must meet the following qualifications in addition to those in I. above:
  - A. Certification through the Behavior Analysis Certification Board as a Behavior Analyst (BCBA or BCBA-D), and comparable state licensure, if applicable. Board Certified Assistant Behavior Analysts (BCaBA) and Registered Behavior Technicians (RBT) who do not meet the qualifications in I. above may satisfy this requirement through the supervision of a BHS-approved BCBA or BCBA-D.
  - B. Current active ABA caseload pertinent to Autism Spectrum Disorders averaging 50% or more.
  - C. In practice at least 20 hours per week.
  - D. Continuing education specific to ABA.

## Part Two

Because Behavioral Health Systems (BHS) has the utmost concern about both the quality of care provided to the patient, and the patient's perception of that quality of care, and because BHS operates as a preferred provider organization rather than as a health maintenance organization, BHS is adopting the following criteria for its provider network. These criteria apply to all BHS providers, present and future. These criteria may be amended by BHS from time to time.

### I. Licensure

- A. The provider may not have had a revoked, suspended, limited, or probationary license, or worked under a consent agreement, within the past ten years, regardless of the state of issuance of such revocation, etc. BHS reserves the right to reduce this period for revocations, suspensions, limitations, probations, or consent agreements based on administrative infractions not directly impacting patient care.
- B. An unlicensed practitioner working under the supervision of a licensed or certified mental health professional, may not have had any disciplinary action taken against him/her by the supervisory individual, employing organization, ethical standards committee, or licensing board.
- C. The provider may not have received any form of mental health treatment for a diagnosis identified in DSM-V, or the most current version, which was ordered by an ethical standards committee, licensing board, or other board of inquiry within the past five years.
- D. The provider may not have any actions or formal complaints pending or currently under investigation by any ethical standards committee, licensing board, or other board of inquiry or authority. (Provider status shall be suspended until the outcome is known.)
- E. Physicians must be authorized under current state and federal certificates to prescribe class 4 pharmaceuticals, and may not be prohibited from prescribing class 2, 2N, 3, or 3N pharmaceuticals as a result of any disciplinary action by a state or federal agency.

### II. Insurance

- A. The provider, either as an individual practitioner or as an owner of a corporation, may not have had any substantive liability claims, settlements, or judgments within the last ten years. However, lawsuits against a provider who is named *solely* due to his/her status as an owner/principal of a corporation shall be reviewed on a case by case basis for applicability under this section. Substantive shall be defined as either: 1) a dollar amount paid by the provider for compensatory damages within the ten year period in excess of \$350,000.00, or 2) any determination of sexual misconduct, patient injury/negligence/unwarranted confinement, or administrative/professional misconduct.
- B. The provider may not have any pending liability claims, settlements, or judgments of the substantive nature described in paragraph A above. (Provider status shall be suspended until the outcome is known.)
- C. The provider may not have been denied or refused renewal of liability insurance, or had liability insurance involuntarily terminated, within the last ten years.

### III. Miscellaneous

- A. The provider may not, concurrent with his/her active practice, be in a rehabilitation program or other treatment for substance abuse. Any provider who has participated in such a program or treatment must have successfully done so at least four years prior to applying for network affiliation, and must have completed four subsequent continuous years of non-substance abuse status and be able to demonstrate



continued aftercare compliance (including random drug tests) for at least two years post-treatment. (Also refer to I.C. above.)

- B. The provider may not suffer from any medical or mental condition which impairs his/her ability to practice.
- C. The provider may not have any criminal record within the last ten years, nor have any criminal actions pending.
- D. The provider may not have had membership in any professional organization revoked, suspended, or terminated involuntarily for any reason other than failure to pay membership fees, within the last ten years.
- E. The provider may not have resigned from the staff of any hospital because of problems regarding privileges or credentials, nor had hospital privileges limited, suspended, revoked, or been denied renewal within the last ten years.
- F. BHS reserves the right to terminate or refuse/reject any application for provider status after reasonable investigation by BHS in the event: 1) more than five patients complain to BHS regarding the provider, and/or any allegation of sexual misconduct is made by a BHS patient with respect to such provider; or 2) BHS receives such direction by one or more of its corporate clients; or 3) BHS learns of inappropriate or unprofessional conduct on the part of that provider.
- G. The provider must have completed: 1) a BHS Treatment Provider Application and Certification, Authorization and Attestation; or 2) a state-approved Uniform Application, and BHS Treatment Provider Supplemental Application and Certification, Authorization and Attestation. The information contained in said application(s) must be true and complete, and any material misstatement, error, or omission in, said application(s) shall constitute cause for: 1) denial of said application(s); or 2) immediate termination of provider's participation in the network.
- H. The BHS Credentialing Committee reserves the right to modify any of these requirements listed in this Section III. Miscellaneous on a case-by-case basis as determined appropriate.

# Certification, Authorization and Attestation

I acknowledge and agree that Behavioral Health Systems, Inc. (BHS) has a valid interest in obtaining and verifying information concerning my professional competence, in determining whether to enter into an agreement with me for the provision of services to members.

I represent and certify to BHS that the information contained in this Application is true and complete to the best of my knowledge and belief, that I meet the BHS Criteria set forth above and, if applicable, the Assessment/Case Manager Criteria, for those specialties I have indicated on the Application, and I agree to inform BHS promptly if any material change in such information occurs, whether before or after acceptance by BHS of my Application for affiliation with BHS' provider network.

I understand and agree that I have the burden of producing adequate information for proper evaluation of my professional qualifications, credentials, clinical and mental competence, clinical performance, ethics, or any other matter that might directly or indirectly have an effect on my competence, performance, or patient care and for resolving any reasonable questions regarding such qualifications, and that BHS has no responsibility to consider this Application until all necessary information is received by BHS.

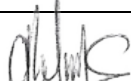
I authorize BHS to consult with state licensing boards, hospital administrators, members of staffs of hospitals, malpractice carriers and other persons to obtain and verify information concerning my professional competence, character and moral and ethical qualifications, and I release BHS and its employees and agents from any and all liability for their acts performed in good faith and without malice in obtaining and verifying such information and in evaluating my Application.

I consent to the release by any persons to BHS of all information that may reasonably be relevant to an evaluation of my professional competence, character and moral and ethical qualifications, including any information relating to any disciplinary action, suspension or curtailment of privileges, and hereby release any such person providing such information from any and all liability for doing so.

I warrant that I have the authority to sign this Application. I agree that submission of this Application does not constitute approval or acceptance as a participating provider.

I understand that any material misstatement, error, or omission in this Application shall constitute cause for denial of this Application and of my participation in the network. I further understand that if my Application is rejected for reasons relating to my professional conduct or competence, BHS may report the rejection to the appropriate state licensing board, National Practitioner Data Bank, Healthcare Integrity and Protection Data Bank, or other professional data bank(s).

**Your signature is required to complete this Application. Stamped signatures are not acceptable.**

Name (Please Print or Type)	Signature 	Date
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# Certificate of Liability Insurance

Date Issued: 04/07/2023

**Underwritten by:** Philadelphia Indemnity Insurance Company · One Bala Plaza, Suite 100 · Bala Cynwyd, PA 19004 · NAIC #: 18058  
**Administered by:** CPH & Associates · 711 S. Dearborn St. Ste 205 · Chicago, IL 60605 · P 800.875.1911 · F 312.987.0902 · info@cphins.com

DISCLAIMER: This certificate is issued as a matter of information only and confers no rights upon the certificate holder. The Certificate of Insurance does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend, or alter the coverage afforded by the policies listed thereon.

**Insured:** Carlos R Diaz  
1450 SW 63rd Ave  
West Miami, FL 33144

**Policy Number:** E232935  
**Policy Term:** 04/09/2023 to 04/09/2024  
**Occupation:** Board Certified Assistant Behavior Analyst

### Covered Locations

**Professional Liability:** Portable coverage, not location specific

Coverage Type (Occurrence Form)	Per Incident (Per individual claim)	Aggregate (Total amount per year)
Professional Liability	\$ 1,000,000	\$ 3,000,000
Supplemental Liability	\$ 1,000,000	\$ 3,000,000
Licensing Board Defense	\$ 35,000	\$ 35,000
Commercial General Liability	N/A	N/A
▫ Fire/Water Legal Liability	N/A	N/A
Business Personal Property	N/A	N/A

Comments/Special Descriptions:

### Certificate Holder

PROOF OF COVERAGE

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s). **Notice of Cancellation** will only be provided to the first named insured in accordance with policy provisions, who shall act on behalf of all additional insureds with respect to giving notice of cancellation.

Authorized Representative  
C. Philip Hodson

# Request for Taxpayer Identification Number and Certification

**Give Form to the  
 requester. Do not  
 send to the IRS.**

▶ Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank. <b>Blessed ABA Therapy Service</b>		
	2 Business name/disregarded entity name, if different from above <b>Ana Julia Diaz Hernandez</b>		
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.		4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):
	<input checked="" type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate		Exempt payee code (if any) _____
	<input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ▶ _____ <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.		Exemption from FATCA reporting code (if any) _____
	<input type="checkbox"/> Other (see instructions) ▶ _____		(Applies to accounts maintained outside the U.S.)
	5 Address (number, street, and apt. or suite no.) See instructions. <b>15301 SW 123 rd Ave</b>		Requester's name and address (optional)
6 City, state, and ZIP code <b>Miami, FL 33177</b>			
7 List account number(s) here (optional)			

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

<b>Social security number</b>									
<b>or</b>									
<b>Employer identification number</b>									
9	2		-	1	0	6	5	3	9
									1

## Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	Signature of U.S. person ▶	Date ▶ <span style="border: 1px solid black; padding: 2px;">03/29/2024</span>
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*

## BACB Certificant Registry

Show entries

Filter results:

Name	Location	Country	Certification	Status
- DIAZ, CARLOS	West Miami, FL	United States	BCaBA	Active

**CARLOS DIAZ**

**Location:** West Miami, FL United States

**Certification Level:** Board Certified Assistant Behavior Analyst

**Certification Number:** 0-14-6271

**Status:** Active

**Original Certification Date:** 11/30/2014

**Next Recertification:** 11/30/2025

**Expiration Date:** 11/30/2025

**Contact:** [Carlos Diaz](#) (?page=101135&sendid=29955081).

**Supervision:**

[Catalina Alvarez](#) (?page=101135&sid=299597388037DABB-B8CE-4A3A-AC45-7B28FE704079).

Completed 8-hour supervision training on: 10/01/2015

Showing 1 to 1 of 1 entries

Previous 1 Next

Date Accessed: **March 26, 2024**

**Disciplinary Actions:** † next to a certificant’s status denotes that they have one or more published sanctions or actions from the BACB that can be accessed by expanding the row. Information about published disciplinary actions taken against BACB certificants is also available [here](#) (/services/o.php?page=100180). To obtain more information about a published disciplinary action please contact the certificant directly (e.g., using a known email address, using the contact link in their Registry record) and ask for a copy of the BACB Disciplinary Determination. If the individual provides you with one, you may submit for verification on the [Responding to Requests](#) (/ethics-information/responding-to-requests-ethics/) page. Use the Case ID number found on the Disciplinary Determination document and select Update About a Notice of Alleged Violation/Open Case from the dropdown menu. Someone from the Ethics Department will follow up.

**Verification Letter:** If you would like a verification letter with a BACB certificant’s status (as shown on the Certificant Registry), you may submit your request [here](#). (/verify-certification).

**Falsified Credentials:** The BACB’s [Falsified Credentials and/or Misrepresentation](#) (/falsified-credentials/) webpage provides information about individuals known to have been misrepresenting themselves as being certified by or affiliated with the BACB. This webpage also includes a submission form for notifying the BACB about alleged misrepresentations of BACB certification or affiliation.

ABAI Accreditation and VCS  
<https://www.abainternational.org/highered/education.aspx>)

Accommodations  
<https://www.bacb.com/accommodations/documentation/>)

Accreditation  
[/about/#Accreditation](https://www.bacb.com/about/#Accreditation))

ACE Providers  
<https://www.bacb.com/authorized-continuing-education-providers/>)

Annual Data Reports  
<https://www.bacb.com/about/bacb-certificant-annual-report-data/>)

Appeals Policy & Procedures  
<https://www.bacb.com/appeals/>)

Application Processing Updates  
<https://www.bacb.com/application-processing-updates/>)

Certificant Data  
<https://www.bacb.com/bacb-certificant-data/>)

Disciplinary Actions  
[/services/o.php?page=100180](https://www.bacb.com/services/o.php?page=100180))

Examination Information  
<https://www.bacb.com/examination-information/>)

FL-CBA  
<https://www.bacb.com/flcba/>)

Intellectual Property Guidelines  
<https://www.bacb.com/bacb-intellectual-property/>)

International Development  
<https://www.bacb.com/international-development/>)

Licensure/Regulation  
<https://www.bacb.com/u-s-licensure-of-behavior-analysts/>)

Mass Email Service  
<https://www.bacb.com/mass-email-information/>)

Privacy Policy  
<https://www.bacb.com/terms-of-use/privacy-policy/>)

Public Statement Policies  
<https://www.bacb.com/about/public-statements-policies/>)

Report an Outage  
<https://www.bacb.com/report-an-outage/>)

Resources  
<https://www.bacb.com/about/bacb-resources/>)

Self-Reporting Requirements  
<https://www.bacb.com/ethics-information/reporting-to-ethics-department/self-reporting/>)

Subject Matter Experts  
<https://www.bacb.com/bacb-subject-matter-expert-information/>)

Supervision and Training  
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